



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walter NOWAK, Jens PEUPELMANN, Michael SAUER,
Ingolf BAUMANN, Johann MEISSNER, Dieter PALME and
Adalbert BANDEMER

Serial No.: 09/936,469

Examiner: Not Yet Known

Filed: September 12, 2001

Group Art Unit: Not Yet Known

International Application No.: PCT/DE00/00722

International File Date: March 11, 2000

CERTIFICATE UNDER 37 CFR 1.8(a)
I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE
AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231.

BY Stephan Gribok
REG. NO. 29643
DATE 1-29-02

For: FIBER TRANSMISSION ELEMENT FOR GENERATING
A CHROMATIC DISPERSION

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

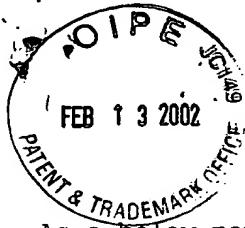
Responsive to the Notice of Missing Requirements mailed on October 30, 2001, Applicant submits herewith the signed Declaration. The surcharges associated with the late filing of the signed Declaration and multiple dependent claims is \$400.00, which amount is submitted herewith.

Respectfully submitted,

Dated: Jan. 29, 2002

Stephan Gribok
Stephan P. Gribok
Registration No. 29,643
DUANE MORRIS LLP
One Liberty Place
Philadelphia, PA 19103
215-979-1283

Docket No: 3212-24 (D4772-00021)



P3222

COMBINED DECLARATION AND POWER OF ATTORNEY

(PATENT - ORIGINAL, DESIGN, NATIONAL STAGE OF PCT)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- Original
Design
National stage of PCT

INVENTORSHIP DECLARATION

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: FIBER TRANSMISSION ELEMENT FOR GENERATING A CHROMATIC DISPERSION.

SPECIFICATION IDENTIFICATION

the specification of which:

is attached hereto.

was filed on _____ as Serial No. _____
and was amended on _____ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

was described and claimed in PCT International Application No. PCT/DE00/00722 filed on 11/MARCH/2000 and as amended under PCT Article 19 on _____ (if any).**ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all known information which is material to patentability as defined in Title 37, Code of Federal Regulations. § 1.56.

In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States

of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

no such applications have been filed.

such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Country	Application Number	Date of Filing	Priority Claimed under 37 U.S.C. 119
INTERNATIONAL	PCT/DE00/00722	11/MARCH/2000	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
DE	199 11 182.0	12/MARCH/1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: otherwise known as customer no. 08933

Lewis F. Gould, Jr., Registration No. 25,057; William H. Murray, Registration No. 27,218; Stephan P. Gribok, Registration No. 29,643; Peter J. Cronk, Registration No. 32,021; Robert E. Rosenthal, Registration No. 33,450; Richard A. Paikoff, Registration No. 34,892; Samuel W. Apicelli, Registration No. 36,427; Steven E. Koffs, Registration No. 37,163; N. Stephen Kinsella, Registration No. 37,657; Gail A. Dalickas, Registration No. 40,979; Darius C. Gambino, Registration No. 41,472; Anthony Colesanti, Registration No. 42,428; Joseph A. Powers, Registration No. 47,006; Melanie Goddard, Registration No. 46,732 and Joseph F. Oriti, Registration No. 47,835.

Please direct all correspondence to: Lewis F. Gould, Jr., Esq.

DUANE, MORRIS & HECKSCHER LLP
One Liberty Place
Philadelphia, PA 19103
Telephone (215) 979-1282

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the application or any patent issued thereon.

SIGNATURE(S)

Full name of **sole or first inventor**, Walter NOWAK

Inventor's signature Walter Nowak
Date 22.01.02 Country of Citizenship Germany
Residence Dresden, Germany
Post Office Address Kaitzer Strasse 121, D-01187 Dresden, Germany

Full name of **second joint inventor**, if any Jens PEUPELMANN

Inventor's signature J. P. L.
Date 07.01.2002 Country of Citizenship Germany
Residence Freiberg, Germany
Post Office Address Bahnhofstrasse 7, D-09599 Freiberg, Germany

Full name of **third joint inventor**, if any Michael SAUER

Inventor's signature Mr. S.
Date 11-1-2002 Country of Citizenship Germany
Residence Dresden, Germany
Post Office Address Donndorfstrasse 21, D-01217 Dresden, Germany

Full name of **fourth joint inventor**, if any Ingolf BAUMANN

Inventor's signature J. Baumann
Date 03.01.2002 Country of Citizenship Germany
Residence Dresden, Germany
Post Office Address Gehestrasse 25, D-01127, Dresden, Germany

Full name of **fifth joint inventor**, if any Johann MEISSNER

Inventor's signature J. M.
Date 07.01.2002 Country of Citizenship Germany
Residence Dresden, Germany
Post Office Address Friedensstrasse 24, D-01097 Dresden, Germany

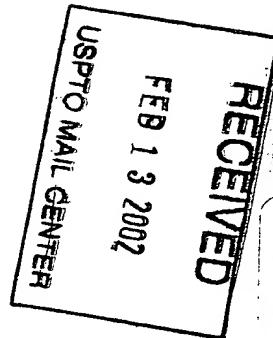
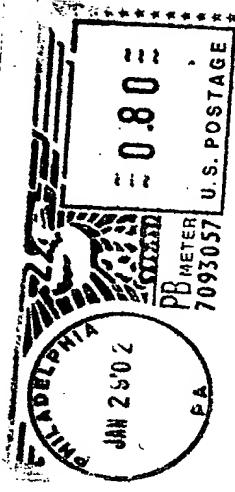
Full name of **sixth joint inventor**, if any Dieter PALME

Inventor's signature D. Palme
Date 25.01.2002 Country of Citizenship Germany
Residence Munchen, Germany
Post Office Address Abensbergstrasse 47, D80993 Munchen, Germany

Full name of **seventh joint inventor**, if any Adalbert BANDEMER

Inventor's signature A. B.
Date 25.01.2002 Country of Citizenship Germany
Residence Karlsfeld, Germany
Post Office Address Gausstrasse 11, D-85757 Karlsfelt, Germany

Attorney Docket No: 3212-24



STEPHAN P. GRIBOK
DUANE MORRIS LLP
ONE LIBERTY PLACE
PHILADELPHIA, PA 19103-7396

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: Box Missing Parts

69/424/469
JCTO REC'D/RCG/PRI 13 FEB 2002

JCTO INITIAL PROCESSING

FEB 21 2002

RECEIVED